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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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## **UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 004747.P007 (maximum 12 characters)  First Named Inventor Howard Abrams						
						Title
Expr	ess Mail La	abel No. <u>EL672753196US</u>				
ADD	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D. C. 20231					
		N ELEMENTS apter 600 concerning utility patent application contents.				
1.	<u>x</u>	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)				
2.	_ <u>X</u>	Applicant Claims Small Entity Status. (37 CFR 1.27)				
3.	<u>X</u>	Specification (Total Pages) (preferred arrangement set forth below) - Descriptive Title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure				
4.	_X	Drawings(s) (35 USC 113) (Total Sheets 8)				
5.	<u>X</u>	Oath or Declaration (Total Pages 6_)				
		a. X Newly Executed (Original or Copy)				
		b. Copy from a Prior Application (37 CFR 1.63(d)) (for Continuation/Divisional with Box 17 completed)				
		i <u>DELETIONS OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).				
		c Unsigned.				
6.		Application Data Sheet. (37 CFR 1.76)				
7.		CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)				
8.	(if applicable,	Nucleotide and/or Amino Acid Sequence Submission s, all necessary) Computer Readable Form (CRF)				
	b	Specification Sequence Listing on.  ICD-ROM or CD-R (2 copies), or  ii paper				
	C.	Statement verifying identity of above copies				

ACCOMPANYING APPLICATION PARTS							
Assignment Papers (cover sheet & documents(s)) a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)							
b. Power of Attorney							
11 English Translation Document (if applicable)							
12 a. Information Disclosure Statement (IDS)/PTO-1449							
b. Copies of IDS Citations							
13. Preliminary Amendment							
14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)							
15 Certified Copy of Priority Document(s) (if foreign priority is claimed)							
16 Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.							
17. X Other: Express Mail Certification							
18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:							
Continuation Divisional X Continuation-in-part (CIP) Of Prior Application No.: 09/659,215 Examiner not yet assigned Group Art Unit 2171							
(which is a continuation/ divisional/ CIP of prior application no, which is a continuation/ divisional/ CIP of prior application no, (List entire chain of priority)							
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.							
18B. Statement under 37 CFR 3.73(b) for continuing application:  The undersigned states that							
19. Correspondence Address							
Customer Number or Bar Code Label							
or (Insert Customer No. or Attach Bar Code Label here X Correspondence Address Below							
NAME Glenn E. Von Tersch							
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP							
ADDRESS 12400 Wilshire Boulevard							
Seventh Floor							
CITY Los Angeles STATE California ZIP CODE 90025-1026							
Country <u>U.S.A.</u> TELEPHONE (408) 720-8300 FAX (408) 720-9397							
Name (PRINT/TYPE): Glenn E. Von Tersch, Registration No.: 41,364 Signature: Elem L. Nr. Poul Date: December 27, 2000							

FEE TRANSMITTAL FOR FY 2001								
TOTAL AMOUNT OF PAYMENT (\$) \$ 427.00  Complete if Known:  Application No. New Application  Filing Date Herewith  First Named Inventor Howard Abrams  Group Art Unit Not Yet Assigned  Examiner Name Not Yet Assigned								
Attorney Docket No. 004747.P007								
METHOD OF PAYMENT (check one)								
1. [		The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:						
	Deposit Account Number <u>02-2666</u> Deposit Account Name <u>Blakely, Sokokoff, Taylor &amp; Zafman</u>							
[ X	[ X ] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17							
[ X	[ X ] Applicant claims small entity status. See 37 CFR 1.27							
2. <u>X</u>	Payment Enc	losed: X Check Credit Card Money Order Other						
FEE CALC	JLATION							
1. BASI	C FILING FEE							
Large Entity								
Fee Fee		For Department For Dail						
Code (\$) 101 710		Fee Description Fee Paid Utility application filing fee 355.00						
106 320		Design application filing fee						
107 490		Plant filing fee						
108 710	208 355	Reissue filing fee						
114 150	214 75	Provisional application filing fee						
		SUBTOTAL (1) \$ <u>355.00</u>						
2. EXTR	A CLAIM FEES	Fee from						
		Extra Claims <u>below</u> <u>Fee Paid</u>						
Total Claim	<b>s</b> 28	$-20^{**} = 8$						
	it Claims <u>3</u>	$-3^{**} = 0$						
•		T = 0 = 0 = 0 = 0						
Multiple Dependent = = **Or number previously paid, if greater; For Reissues, see below.								
Large Entity	Small Entity	- y <del>y</del>						
Fee Fee								
Code (\$)	Code (\$)	Fee Description						
103 18	203 9	Claims in excess of 20						
102 80		Independent claims in excess of 3						
104 270		Multiple dependent claim, if not paid						
109 80		**Reissue independent claims over original patent						
110 18	210 9	**Reissue claims in excess of 20 and over original patent						
		SUBTOTAL (2) \$ <u>72.00</u>						

## FEE CALCULATION (continued) 3. **ADDITIONAL FEES** Large Entity Small Entity Fee Fee Fee Fee Code Code (\$) (\$) **Fee Description** Fee Paid 105 130 205 Surcharge - late filing fee or oath 65 127 25 50 227 Surcharge - late provisional filing fee or cover sheet 139 139 Non-English specification 130 130 147 2,520 147 2,520 For filing a request for ex parte reexamination 112 920\* 112 920\* Requesting publication of SIR prior to **Examiner action** 113 1,840\* Requesting publication of SIR after 1,840\* 113 **Examiner action** 115 110 215 55 Extension for reply within first month 116 390 216 195 Extension for reply within second month 117 890 217 445 Extension for reply within third month 118 1,390 218 695 Extension for reply within fourth month 128 1,890 228 945 Extension for reply within fifth month 119 310 219 155 Notice of Appeal 120 310 220 155 Filing a brief in support of an appeal 121 270 221 135 Request for oral hearing 138 1.510 138 1,510 Petition to institute a public use proceeding 140 110 240 Petition to revive - unavoidable 55 141 1.240 241 620 Petition to revive - unintentional 142 620 1.240 242 Utility issue fee (or reissue) 143 440 243 220 Design issue fee 144 Plant issue fee 600 244 300 122 130 122 130 **Petitions to the Commissioner** 123 130 123 130 Petitions related to provisional applications 126 180 126 180 **Submission of Information Disclosure Stmt** 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 710 246 355 For filing a submission after final rejection (see 37 CFR 1.129(a)) 149 710 249 355 For each additional invention to be examined (see 37 CFR 1.129(b)) Request for Continued Examination (RCE) 179 710 279 355 169 900 169 900 Request for expedited examination of a design application Other fee (specify) Other fee (specify) SUBTOTAL (3) \$ \*Reduced by Basic Filing Fee Paid SUBMITTED BY: Typed or Printed Name: Glenn E. Von Tersch Date: December 27 2000 **Reg. Number:** 41,364 **Telephone Number:** (408) 720-8300

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Appeal Brief (\_\_\_\_\_ pgs.) (in triplicate)

Application - Utility (\_\_\_\_\_ pgs., with cover and abstract)

Application - Rule 1.53(b) Continuation (\_\_\_\_\_ pgs.)

Application - Rule 1.53(b) Divisional (\_\_\_\_\_ pgs.)

■ Declaration & POA (\_6\_pgs.) (signed)

Drawings: 8 # of sheets includes 8 figures

☐ Disclosure Drcs & Org & Copy of Inventor's Signed Letter(\_\_\_\_\_psp)

Application - Rule 1.53(b) CIP (24 pgs.)

Application - Design (\_\_\_\_\_pgs.)

Assignment and Cover Sheet

Certificate of Mailing

Other:

Application - Provisional (\_\_\_\_\_ pgs.)

Application - PCT (\_\_\_\_\_ pgs.)

Application - Rule 1.53(d) CPA Transmittal (\_\_\_

Date of Deposit:Decem	<u>per 27, 2000</u>
I hereby certify that I am causing	this paper or fee to be deposited with
the United States Postal Service	
	indicated above and that this paper or
	Assistant Commissioner for Patents,
Washington, D. C. 20231	to the first terminal of the first terminal
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(Signature of person mailing par	per or fee)
12-27-2000	
(Date signed)	
(Date eighter)	
Serial/Patent No.: ****	Filing/Issue Date: Herewith
Client: Muse Communication Corporat	ion Herewith
Title: METHOD AND SYSTEM FOR ONLIN	E LIVE AUCTIONS
BSTZ File No.: 004747.P007	Atty/Secty Initials:GEV/cab
Date Mailed: December 27, 2000	Docket Due Date: ****
The following has been received in the U.S. Patent	& Trademark Office on the date stamped hereon.
Amendment/Response (pgs.)	Express Mail No.: EL672753196US Check No. 39932

\_\_\_\_\_ Month(s) Extension of Time

☐ Information Disclosure Statement & PTO 1449 (\_\_pgs.)

☐ Issue Fee Transmittal

☐ Petition for Extension of Time

Reply Brief (\_\_\_\_\_ pgs.)

Power of Attorney (\_\_\_\_\_ pgs.)

Transmittal Letter, in duplicate

Fee Transmittal, in duplicate

Preliminary Amendment (\_\_\_\_ pgs.)

Response to Notice of Missing Parts

☐ Small Entity Declaration for Indep. Inventor/Small Business

☐ Notice of Appeal

Petition for \_

Postcard

Amt: \$427.00

☐ Check No.\_

Amt:

"Express Mail" mailing label number: EL672753196US